

# CORD

## Activities and Summary Report on the House Committee on Appropriations: 112th Congress, First Quarter

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## Union Calendar No. 92

112TH CONGRESS }  
*1st Session*

HOUSE OF REPRESENTATIVES

{ REPORT  
112-145

### COMMITTEE ON APPROPRIATIONS HOUSE OF REPRESENTATIVES

### S E M I A N N U A L R E P O R T

OF

### COMMITTEE ACTIVITIES ONE HUNDRED TWELFTH CONGRESS

(First Session)

JANUARY 5, 2011

THROUGH

JUNE 30, 2011

Pursuant to Clause 1(d) of Rule XI



JULY 12, 2011.—Committed to the Committee of the Whole House on  
the State of the Union and ordered to be printed

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WASHINGTON : 2011

COMMITTEE ON APPROPRIATIONS  
HOUSE OF REPRESENTATIVES  
(112th Congress—1st Session)

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<sup>1</sup> Chairman Emeritus

WILLIAM B. INGLEE, *Clerk and Staff Director*

**LETTER OF TRANSMITTAL**

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JULY 12, 2011

Hon. JOHN A. BOEHNER,  
*The Speaker,*  
*U.S. House of Representatives,*  
*Washington, DC.*

DEAR MR. SPEAKER: I am pleased to transmit herewith a semi-annual report on the activities of the Committee on Appropriations during the 112th Congress, 1st Session, pursuant to clause 1(d) of rule XI of the Rules of the House of Representatives.

With best regards,  
Sincerely,

HAROLD ROGERS,  
*Chairman.*



## Union Calendar No. 92

112TH CONGRESS }  
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112-145

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### SEMIANNUAL REPORT ON ACTIVITIES OF THE COMMITTEE ON APPROPRIATIONS DURING THE 112TH CONGRESS, FIRST SESSION

—————  
JULY 12, 2011.—Committed to the Committee of the Whole House on the State of the Union and ordered to be printed

—————

Mr. ROGERS, from the Committee on Appropriations,  
submitted the following

### S E M I A N N U A L R E P O R T

The Committee on Appropriations is the principal arm through which the House of Representatives exercises its constitutional responsibility to provide funds for the operations of the various activities of the Federal Government. Clause 1(b) of rule X of the House provides that the Committee shall have jurisdiction over the “Appropriation of the revenue for the support of the Government.” This responsibility has basically been vested in the Committee since 1865.

The Committee has been established by the House with a membership of 50 during the 112th Congress. With relatively few exceptions, the responsibilities of the Committee are carried out through its 12 Subcommittees which in turn report to the full Committee. The Subcommittees are organized essentially on a functional basis with recognition of the existing structure of the Departments and agencies within the Executive Branch. (The jurisdictional assignments of Subcommittees during the 112th Congress are displayed in Appendix C.)

## SUMMARY OF APPROPRIATIONS ACTIONS

When the 112th Congress convened on January 5, 2011, the Federal Government was operating under a continuing resolution (CR). This CR provided FY 2011 funding through March 4, 2011 for all agencies and programs included in the Committee's 12 appropriations bills. The first order of business for the Committee in the 112th Congress was completion of the FY 2011 appropriations.

The Chairman drafted and introduced H.R. 1, which reduced FY 2011 discretionary appropriations \$61 billion below the FY 2010 enacted appropriations level and \$100 billion below the level requested by the President. This bill was passed by the House on February 19, 2011, after four legislative days and approximately 43 hours of debate under a modified open rule, during which 162 amendments were offered. Subsequently, negotiations with the Senate and the administration led to a final continuing resolution to fund the government through the remainder of the year. The final compromise appropriations bill—the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (P.L. 112–10)—reduced FY 2011 spending nearly \$40 billion below the FY 2010 enacted level and over \$78 billion below the President's request.

At the same time the Committee worked to finish FY 2011 appropriations, it also began efforts to draft the FY 2012 appropriations bills. The Committee places a high priority on conducting detailed reviews of agency budgets and exercising comprehensive oversight on the programs under its jurisdiction. Therefore, an aggressive schedule of budget and oversight hearings was developed. Starting on February 9, 2011, the Committee conducted 148 hearings, receiving testimony from nearly 300 witnesses. The hearings informed and guided the Committee in its development of the FY 2012 appropriations bills.

The first subcommittee markups of the FY 2012 bills occurred on May 13, 2011, with the Homeland Security subcommittee and the Military Construction, Veterans Affairs, and Related Agencies subcommittee presenting their bills. By June 23, 2011, the Committee ordered reported six bills, and the House passed three of those bills: Homeland Security, Military Construction/Veterans Affairs, and Agriculture.

The tables following this summary display, along with comparisons to the President's budget request, the enacted FY 2011 funding levels for each of the 12 appropriations subcommittees, as well as the Committee- or House-approved levels for the FY 2012 bills that have been considered thus far.

The Committee also implemented several measures, consistent with House rules, to increase transparency and openness in its consideration of bills. All subcommittee draft bills are posted on the Committee's website at least 24 hours prior to the subcommittee markup. In addition, the subcommittee draft report is posted 24 hours prior to the full Committee markup. The Committee also posts public notices of markups, amendments adopted during markups, and votes taken during markups.

## 112TH CONGRESS—1ST SESSION

(Semiannual)

## FISCAL YEAR 2011 CONTINUING RESOLUTIONS

- H.J. Res. 44—Making further continuing appropriations for fiscal year 2011, and for other purposes. Approved March 2, 2011 (P.L. 112–4).
- H.J. Res. 48—Making further continuing appropriations for fiscal year 2011, and for other purposes. Approved March 18, 2011 (P.L. 112–6).
- H.R. 1363—Further additional continuing appropriations amendments, 2011. Approved April 9, 2011 (P.L. 112–8).
- H.R. 1473— Making appropriations for the Department of Defense and the other departments and agencies of the government for the fiscal year ending September 30, 2011, and for other purposes. Approved April 15, 2011 (P.L. 112–10).



**2011 APPROPRIATIONS—112th CONGRESS, FIRST SESSION**

[Dollars in millions]

	President's Request		Enacted Bills <sup>1</sup>		Bills vs. President's Request	
	Discretionary	Mandatory	Discretionary	Mandatory	Discretionary	Mandatory
<b>Fiscal Year 2011 Bills</b>						
Agriculture (P.L. 112-10) .....	23,129	\$111,874	\$19,922	\$111,874	-\$3,207	.....
Commerce, Justice, Science (P.L. 112-10) .....	60,539	256	53,428	256	-7,111	.....
Defense (P.L. 112-10) .....	689,020	292	670,848	292	-18,172	.....
Energy and Water (P.L. 112-10) .....	35,344	.....	31,682	.....	-3,662	.....
Financial Services and General Government (P.L. 112-10) .....	25,253	21,153	21,950	21,153	-3,303	.....
Homeland Security (P.L. 112-10) .....	43,636	1,300	41,915	1,300	-1,721	.....
Interior, Environment (P.L. 112-10) .....	32,377	450	29,559	450	-2,818	.....
Labor, HHS, Education (P.L. 112-10) <sup>2</sup> .....	170,611	561,924	156,177	560,777	-14,434	-1,147
Legislative Branch (P.L. 112-10) .....	5,134	133	4,541	133	-593	.....
Military Construction, Veterans Affairs (P.L. 112-10) .....	77,255	69,190	74,373	69,190	-2,882	.....
State, Foreign Operations (P.L. 112-10) .....	56,646	159	48,162	159	-8,484	.....
Transportation, HUD (P.L. 112-10) .....	68,738	.....	55,367	.....	-13,371	.....
Fifth FY 2011 Continuing Resolution (P.L. 112-4) .....	.....	.....	.....	.....	.....	.....
Sixth FY 2011 Continuing Resolution (P.L. 112-6) .....	.....	.....	.....	.....	.....	.....
Seventh FY 2011 Continuing resolution (P.L. 112-8) .....	.....	.....	.....	.....	.....	.....
Eighth FY 2011 Continuing Resolution (P.L. 112-10) .....	.....	.....	.....	.....	.....	.....
<b>Total, FY 2011 Bills</b> .....	<b>1,287,683</b>	<b>766,731</b>	<b>1,207,924</b>	<b>765,584</b>	<b>-79,759</b>	<b>-1,147</b>
<i>Regular Appropriations</i> .....	1,128,345	.....	1,049,782	.....	-78,563	.....
<i>Emergency appropriations / Other</i> .....	.....	.....	-1,259	.....	.....	.....
<i>Global War on Terrorism / Overseas Contingencies</i> .....	159,337	.....	159,401	.....	64	.....

<sup>1</sup> Amounts included for the Global War on Terrorism for FY 2011 are designated pursuant to 3(c)(2) of H. Res. 5.

<sup>2</sup> Congress did not accept the President's FY 2011 Budget proposal to shift of Pell Grant funding to the mandatory budget. Therefore, the figures here have been adjusted to reflect this funding.

**2012 APPROPRIATIONS—112th CONGRESS, FIRST SESSION**

[Dollars in millions]

	President's Request		House-reported, House-passed, or Enacted Bills <sup>1</sup>		Bills vs. President's Request	
	Discretionary	Mandatory	Discretionary	Mandatory	Discretionary	Mandatory
<b>Fiscal Year 2012 Bills (through June 30th)</b>						
Agriculture (H.R. 2112) (House-passed) .....	22,291	116,916	17,254	116,916	-5,041	.....
Commerce, Justice, Science .....	57,675	272	.....	.....	-57,675	-272
Defense (H.R. 2219) (Reported) .....	656,779	514	648,709	514	-8,070	.....
Energy and Water (H.R. 2354) (Reported) .....	36,505	.....	30,639	.....	-5,866	.....
Financial Services and General Government (Ordered reported) .....	25,700	21,455	19,895	21,455	-5,805	.....
Homeland Security (H.R. 2017) (House-passed) .....	43,577	1,440	40,850	1,440	-2,727	.....
Interior, Environment .....	31,291	456	.....	.....	-31,291	-456
Labor, HHS, Education .....	180,801	536,436	.....	.....	-180,801	-536,436
Legislative Branch .....	4,855	136	.....	.....	-4,855	-136
Military Construction, Veterans Affairs (H.R. 2055) (House-passed) .....	73,791	67,916	72,535	67,916	-1,256	.....
State, Foreign Operations .....	59,499	159	.....	.....	-59,499	-159
Transportation, HUD <sup>2</sup> .....	74,712	.....	.....	.....	-74,712	.....
<b>Total, FY 2012 Bills .....</b>	<b>1,267,476</b>	<b>745,700</b>	<b>829,878</b>	<b>208,241</b>	<b>-437,598</b>	<b>-537,459</b>
<i>Regular Appropriations .....</i>	<i>1,140,967</i>	<i>745,700</i>	<i>1,019,402</i>	<i>208,241</i>	<i>-121,565</i>	<i>-537,459</i>
<i>Emergency appropriations / Other .....</i>	<i>-35</i>	<i>.....</i>	<i>.....</i>	<i>.....</i>	<i>35</i>	<i>.....</i>
<i>Global War on Terrorism / Overseas Contingencies .....</i>	<i>126,544</i>	<i>.....</i>	<i>118,942</i>	<i>.....</i>	<i>-7,602</i>	<i>.....</i>
<b>Fiscal Year 2011 Supplemental Appropriations</b>						
Homeland Security (Title VI of H.R. 2017) <sup>3</sup> .....	.....	.....	.....	.....	.....	.....
Energy and Water (Title V of H.R. 2354) <sup>4</sup> .....	.....	.....	-471	.....	-471	.....
<b>Total, FY 2011 Supplementals .....</b>	<b>.....</b>	<b>.....</b>	<b>-471</b>	<b>.....</b>	<b>-471</b>	<b>.....</b>

<sup>1</sup> Amounts included for the Global War on Terrorism have been designated pursuant to Title III, Sec. 301 of H. Con. Res. 34.

<sup>2</sup> The Committee does not accept the President's FY 2012 Budget proposal to shift surface transportation spending to the mandatory budget. Therefore, the figures here have been adjusted to reflect this funding.

<sup>3</sup> Title VI includes additional emergency funds for the FEMA DRF offset by a rescission of unobligated funds from the Advanced Technology Vehicles Manufacturing loan program.

<sup>4</sup> Title V includes additional emergency funds for the Army Corps of Engineers offset by a rescission of funds from the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

## OVERSIGHT PLAN

Pursuant to clause 2(d)(1) of rule X, the committee submitted the following Oversight Plan on February 8, 2011:

## OVERSIGHT PLANS OF THE HOUSE COMMITTEE ON APPROPRIATIONS

Clause 2(d)(1) of Rule X of the Rules of the House requires each standing committee of the House to adopt oversight plans at the beginning of each Congress. Specifically, the Rule states in part:

“Rule X, clause 2(d)(1). Not later than February 15 of the first session of a Congress, each standing committee shall, in a meeting that is open to the public and with a quorum present, adopt its oversight plan for that Congress. Such plan shall be submitted simultaneously to the Committee on Government Reform and to the Committee on House Administration.”

## JURISDICTION OF THE COMMITTEE ON APPROPRIATIONS

Rule X of the Rules of the House vests in the Committee on Appropriations broad responsibility over the Federal budget. Specifically the Rule defines the Committee’s jurisdiction, as follows:

“Rule X clause 1(b). Committee on Appropriations.

- (1) Appropriation of the revenue for the support of the Government.
- (2) Rescissions of appropriations contained in appropriations Acts.
- (3) Transfers of unexpended balances.
- (4) Bills and joint resolutions reported by other committees that provide new entitlement authority as defined in section 3(9) of the Congressional Budget Act of 1974 and referred to the committee under clause 4(a)(2).”

## GENERAL OVERSIGHT RESPONSIBILITIES

2. (a) The various standing committees shall have general oversight responsibilities as provided in paragraph (b) in order to assist the House in—

(1) its analysis, appraisal, and evaluation of (A) the application, administration, execution, and effectiveness of Federal laws; and (B) conditions and circumstances that may indicate the necessity or desirability of enacting new or additional legislation; and

(2) its formulation, consideration, and enactment of such changes in Federal laws, and of such additional legislation, as may be necessary or appropriate.

(b)(1) In order to determine whether laws and programs addressing subjects within the jurisdiction of a committee are being implemented and carried out in accordance with the intent of Congress and whether they should be continued, curtailed, or eliminated, each standing committee (other than the Committee on Appropriations) shall review and study on a continuing basis—

(A) the application, administration, execution, and effectiveness of laws and programs addressing subjects within its jurisdiction;

(B) the organization and operation of Federal agencies and entities having responsibilities for the administration and execution of laws and programs addressing subjects within its jurisdiction;

(C) any conditions or circumstances that may indicate the necessity or desirability of enacting new or additional legislation addressing subjects within its jurisdiction (whether or not a bill or resolution has been introduced with respect thereto); and

(D) future research and forecasting on subjects within its jurisdiction.

#### SPECIAL OVERSIGHT FUNCTIONS

3. (a) The Committee on Appropriations shall conduct such studies and examinations of the organization and operation of executive departments and other executive agencies (including any agency the majority of the stock of which is owned by the United States) as it considers necessary to assist it in the determination of matters within its jurisdiction.

#### ADDITIONAL FUNCTIONS OF COMMITTEES

4. (a)(1)(A) The Committee on Appropriations shall, within 30 days after the transmittal of the budget to Congress each year, hold hearings on the budget as a whole with particular reference to—

(i) the basic recommendations and budgetary policies of the President in the presentation of the budget; and

(ii) the fiscal, financial, and economic assumptions used as bases in arriving at total estimated expenditures and receipts.

(B) In holding hearings under subdivision (A), the Committee shall receive testimony from the Secretary of the Treasury, the Director of the Office of Management and Budget, the Chairman of the Council of Economic Advisers, and such other persons as the Committee may desire.

(C) A hearing under subdivision (A), or any part thereof, shall be held in open session, except when the committee, in open session and with a quorum present, determines by record vote that the testimony to be taken at that hearing on that day may be related to a matter of national security. The committee may by the same procedure close one subsequent day of hearing. A transcript of all such hearings shall be printed and a copy thereof furnished to each Member, Delegate, and the Resident Commissioner.

(D) A hearing under subdivision (A), or any part thereof, may be held before a joint meeting of the Committee and the Committee on Appropriations of the Senate in accordance with such procedures as the two committees jointly may determine.

(2) Pursuant to section 401(b)(2) of the Congressional Budget Act of 1974, when a committee reports a bill or joint resolution that provides new entitlement authority as defined in section 3(9) of that Act, and enactment of the bill or joint resolution, as reported,

would cause a breach of the committee's pertinent allocation of new budget authority under section 302(a) of that Act, the bill or joint resolution may be referred to the Committee on Appropriations with instruction to report it with recommendations (which may include an amendment limiting the total amount of new entitlement authority provided in the bill or joint resolution). If the Committee on Appropriations fails to report a bill or joint resolution so referred within 15 calendar days (not counting any day on which the House is not in session), the committee automatically shall be discharged from consideration of the bill or joint resolution, and the bill or joint resolution shall be placed on the appropriate calendar.

(3) In addition, the Committee on Appropriations shall study on a continuing basis those provisions of law that (on the first day of the first fiscal year for which the congressional budget process is effective) provide spending authority or permanent budget authority and shall report to the House from time to time its recommendations for terminating or modifying such provisions.

(4) In the manner provided by section 302 of the Congressional Budget Act of 1974, the Committee on Appropriations (after consulting with the Committee on Appropriations of the Senate) shall subdivide any allocations made to it in the joint explanatory statement accompanying the conference report on such concurrent resolution, and promptly report the subdivisions to the House as soon as practicable after a concurrent resolution on the budget for a fiscal year is agreed to.

Rule XIII of the Rules of the House prescribes special reporting requirements of the Committee on Appropriations. Specifically Rule XIII, clause 3(f) states:

#### CONTENT OF REPORTS

“(f)(1) A report of the Committee on Appropriations on a general appropriation bill shall include—

(A) a concise statement describing the effect of any provision of the accompanying bill that directly or indirectly changes the application of existing law; and

(B) a list of all appropriations contained in the bill for expenditures not currently authorized by law for the period concerned (except classified intelligence or national security programs, projects, or activities) along with a statement of the last year for which such expenditures were authorized, the level of expenditures authorized for that year, the actual level of expenditures for that year, and the level of appropriations in the bill for such expenditures.

(2) Whenever the Committee on Appropriations reports a bill or joint resolution including matter specified in clause 1(b)(2) or (3) of rule X, it shall include—

(A) in the bill or joint resolution, separate headings for ‘Rescissions’ and ‘Transfers of Unexpended Balances’; and

(B) in the report of the committee, a separate section listing such rescissions and transfers.”

## OVERSIGHT PLAN

The Committee on Appropriations is strongly committed to stringent and comprehensive oversight of federal discretionary spending to ensure that taxpayer dollars are being invested wisely and prudently on behalf of the American people. Oversight should not be a partisan exercise, but a serious evaluation and accounting of how taxpayer dollars are being utilized by Government agencies and programs.

Because of the historic nature of the Nation's financial crisis, Congress must go further in exercising oversight than ever before. This Congress must get into the weeds, root out waste, abuse and duplicative spending in federal programs, and reject "more money" solutions to our budgetary challenges.

As part of this focus, the Appropriations Committee must maintain continual pressure on federal agency officials and department heads in order to promote accountability and responsibly by the agencies regarding the investment of American tax dollars. In addition, the Committee will utilize, where appropriate, information and testimony from non-government entities to further scrutinize federal spending and the effectiveness and necessity of government programs.

To accomplish these goal for in the 112th Congress, the Committee on Appropriations intends to engage in the following oversight actions:

(1) *Subcommittee Hearings*. The Appropriations Committee holds itself to the highest standards for analyses of the President's budget and supplemental funding requests. During the previous Republican majority, the Appropriations Committee held 304 hearings, heard testimony from 2,463 witnesses and published 165 volumes of hearings totaling 155,056 pages.

These subcommittee hearings will include testimony from federal agency officials with responsibility over the spending of taxpayer dollars, as well as non-government individuals and entities with relevant budgetary information and analysis.

(2) *Investigations*. In addition to open oversight hearings, the Committee will also engage in in-depth, comprehensive studies and investigations into agency activities when it is deemed necessary. Specifically, these investigations may include examination of the use of discretionary funding for the American Reinvestment and Recovery Act (ARRA), and for the Health Care Reform legislation, as well as others. Many of these investigations will be conducted by the Committee's Surveys and Investigations staff, who are highly qualified and experienced in the detailed examination of federal budgets. In addition, the Committee will continue to utilize the investigative expertise of the Government Accountability Office and the Inspectors General of the various Federal agencies. Under previous Republican control of the House in the 109th Congress, the Surveys and Investigations staff produced 43 studies and received 211 investigative reports from the Government Accountability Office.

(3) *Appropriations Bills*. The "Power of the Purse" is the Committee's primary responsibility, as outlined in Article I,

Section 9, and Clause 7 of the U.S. Constitution which states that “no money shall be drawn from the Treasury but in Consequence of Appropriations made by Law.” The manner in which the Committee chooses to provide or withhold federal funding will be undertaken with the utmost level of care and concern over the prudent and responsible use of taxpayer funds, based on its in-depth review of each agencies programs and budget through its informed analyses. The Committee will strictly adhere to its responsibilities under the Congressional Budget Act by ensuring its strict conformance with the total discretionary spending levels established in the Budget Resolution. The Committee will fulfill its responsibility to allocate those funds among the subcommittees based on the prioritization of limited spending based on its analyses and reviews.

INVESTIGATIONS CONDUCTED BY THE COMMITTEE  
(112TH CONGRESS—1ST SESSION)  
(Semiannual)

During the first six months of the 112th Congress, the Committee conducted 21 studies and investigations covering a wide range of Federal agency activities. The Committee's Surveys and Investigations Staff (S&I) performed these studies to assist the Committee in fulfilling its oversight responsibilities and gathering the information it needs to make budget decisions.

The various Subcommittees, in letters (known as directives) signed by the Chairman and Ranking Minority Member, originate requests for investigations; the request letters must be approved and signed by the Chairman and Ranking Minority Member of the Full Committee before an investigation is pursued.

When the Committee directs S&I to conduct an investigation, the Director assembles a team of expert investigators. Competence and objectivity are the criteria for selection of individual investigators. Investigators include a small number of permanent staff, personnel on detail from Federal agencies, and independent contractors.

Directives generally call for investigations to be completed within 3 to 6 months. Some studies include briefings to Subcommittee staff or result in several memos in addition to, or in lieu of, a final report. The format and frequency of reports are tailored to the needs of the Subcommittee.

A catalog of all the investigations conducted from January through June of 2011, listed by the requesting Subcommittee, follows.

STUDIES COMPLETED OR STARTED BY THE SURVERYS AND INVESTIGATIONS STAFF COMMITTEE ON APPROPRIATIONS, 112TH CONGRESS  
(JANUARY 3 TO JUNE 30, 2011)

STUDIES BY SUBCOMMITTEE

COMMERCE, JUSTICE, SCIENCE, AND RELATED AGENCIES

—Status of Funds at Department of Commerce, Department of Justice, NASA, and National Science Foundation

DEFENSE

—Office of the Director of National Intelligence Contracting  
—Department of Defense Protective Services Details  
—Department of Defense Contractor Logistics Services  
—Department of Defense Telecommunications Expense Management  
—Defense Acquisition Workforce Development Fund  
—National Cybersecurity Initiative

ENERGY AND WATER DEVELOPMENT, AND RELATED AGENCIES

—Department of Energy Policies Associated with Obligation of Funds  
—Department of Energy Announcement of Funding Opportunities for Grants  
—Department of Energy Programs Withhold Funds Appropriated to Their Subprograms

HOMELAND SECURITY

—Federal Emergency Management Disaster Relief Fund



## INTERIOR, ENVIRONMENT, AND RELATED AGENCIES

- Status of Funds at Department of Interior, Environmental Protection Agency, Forest Service, and Indian Health Service

## LABOR, HEALTH AND HUMAN SERVICES, EDUCATION, AND RELATED AGENCIES

- Department of Labor's Workforce Investment Act Job Training Programs

## MILITARY CONSTRUCTION, VETERANS AFFAIRS AND RELATED AGENCIES

- Department of Defense Examination of the Plans to Relocate the Okinawa Air Base and the Force Location of US Marines from Okinawa to Guam
- Department of Veterans Affairs Construction Activities
- Disability Claims Process Employed by the Veterans Administration as Contrasted to the Process Employed by the Social Security Administration

## STATE, FOREIGN OPERATIONS, AND RELATED AGENCIES

- Hiring for the Department of State and Agency for International Development
- Application of Appropriated Funds Toward U.S. Assessments at the United Nations
- Agency for International Development Procurement Reform Issues

## TRANSPORTATION, HOUSING AND URBAN DEVELOPMENT, AND RELATED AGENCIES

- Department of Housing and Urban Development Community Development Block Grant Disaster Relief and Recovery Assistance
- Federal Aviation Administration Policies and Procedures Related to Air Traffic Controller Staffing and Fatigue

With respect to the above listing, it should be noted that since studies originate with the Subcommittees, any information developed during the course of an investigation is reported to the Subcommittee which requested such study or examination as well as the Chairman and Ranking Minority Member of the Full Committee. This information may be released for publication only when the Subcommittee so determines as provided by Section 8 of the Committee's rules.

## SUPPORT PROVIDED BY THE GAO

In addition to the information made available to the Committee through its Surveys and Investigations Staff, the Committee also utilizes the resources of the Government Accountability Office. The Committee receives a copy of every GAO report addressed to the Congress. In recent years the scope of the GAO auditing and review capability has been enlarged to include management surveys. For over 24 years the GAO has extracted from those reports and compiled in separate volumes a list of so-called "significant audit findings" for special use by the Committee staff in the annual appropriations hearings. These findings relate to matters which are felt to require corrective action by the Committee's efforts, through legislation, or through administrative efforts. This compilation is designed to identify problem areas in an individual agency which might have applicability to other organizations.

Additionally, the Committee frequently calls upon GAO to make special studies and investigations.

A listing of some reports and staff studies by the Committee which were underway during the 112th Congress follows:

FORMAL U.S. GOVERNMENT ACCOUNTABILITY OFFICE REPORTS AND TESTIMONIES ISSUED TO HOUSE  
 APPROPRIATIONS COMMITTEE AND SUBCOMMITTEES—JANUARY 1, 2011—JUNE 14, 2011

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Product Number, Title, and Issue Date

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GAO-11-484, Acorn: Federal Funding and Monitoring—6-14-2011

GAO-11-712R, HHS Research Awards: Use of Recovery Act and Patient Protection and Affordable Care Act Funds for Comparative Effectiveness Research—6-14-2011

GAO-11-725R, Private Health Insurance: Waivers of Restrictions on Annual Limits on Health Benefits—6-14-2011

GAO-11-622, Veterans' Health Care Budget Estimate: Changes Were Made in Developing the President's Budget Request for Fiscal Years 2012 and 2013—6-14-2011

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## APPENDIX A

## COMMITTEE ON APPROPRIATIONS

## COMMITTEE RULES

(Adopted for the 112th Congress on February 8, 2011)

RESOLVED, That the rules and practices of the Committee on Appropriations, House of Representatives, in the One Hundred Eleventh Congress, except as otherwise provided hereinafter, shall be and are hereby adopted as the rules and practices of the Committee on Appropriations in the One Hundred Twelfth Congress.

The foregoing resolution adopts the following rules:

**Sec. 1: Power to Sit and Act**

(a) For the purpose of carrying out any of its functions and duties under Rules X and XI of the Rules of the House of Representatives, the Committee and each of its subcommittees is authorized:

(1) To sit and act at such times and places within the United States whether the House is in session, has recessed, or has adjourned, and to hold such hearings as it deems necessary; and

(2) To require, by subpoena or otherwise, the attendance and testimony of such witnesses and the production of such books, reports, correspondence, memorandums, papers, and documents as it deems necessary.

(b) The Chairman, or any Member designated by the Chairman, may administer oaths to any witness.

(c) A subpoena may be authorized and issued by the Committee or its subcommittees under subsection (a)(2) in the conduct of any investigation or activity or series of investigations or activities, only when authorized by a majority of the Members of the Committee voting, a majority being present. The power to authorize and issue subpoenas under subsection (a)(2) may be delegated to the Chairman pursuant to such rules and under such limitations as the Committee may prescribe. Authorized subpoenas shall be signed by the Chairman or by any Member designated by the Committee.

(d) Compliance with any subpoena issued by the Committee or its subcommittees may be enforced only as authorized or directed by the House.

**Sec. 2: Subcommittees**

(a) The Majority Caucus of the Committee shall establish the number of subcommittees and shall determine the jurisdiction of each subcommittee.

(b) Each subcommittee is authorized to meet, hold hearings, receive evidence, and report to the Committee all matters referred to it.

(c) All legislation and other matters referred to the Committee shall be referred to the subcommittee of appropriate jurisdiction within two weeks unless, by majority vote of the Majority Members of the full Committee, consideration is to be by the full Committee.

(d) The Majority Caucus of the Committee shall determine an appropriate ratio of Majority to Minority Members for each sub-

committee. The Chairman is authorized to negotiate that ratio with the Minority; *Provided, however,* That party representation in each subcommittee, including ex-officio members, shall be no less favorable to the Majority than the ratio for the full Committee.

(e) The Chairman and Ranking Minority Member of the full Committee are each authorized to sit as a member of all subcommittees and to participate, including voting, in all of the work of the subcommittees.

### **Sec. 3: Staffing**

(a) **Committee Staff**—The Chairman is authorized to appoint the staff of the Committee, and make adjustments in the job titles and compensation thereof subject to the maximum rates and conditions established in Clause 9(c) of Rule X of the Rules of the House of Representatives. In addition, he is authorized, in his discretion, to arrange for their specialized training. The Chairman is also authorized to employ additional personnel as necessary.

#### **(b) Assistants to Members:**

(1) Each Chairman and Ranking Minority Member of a Subcommittee or the Full Committee, including a Chairman Emeritus may select and designate one staff member who shall serve at the pleasure of that Member.

(2) Notwithstanding, (b)(1) The Chairman may prescribe such terms and conditions necessary to achieve a reduction in the number of Assistants to Members previously designated by a Member of the Committee prior to the adoption of the Rules of the House establishing the Committee for the 112th Congress.

(3) Staff members designated under this subsection shall be compensated at a rate, determined by the Member, not to exceed 75 per centum of the maximum established in Clause 9 (c) of Rule X of the Rules of the House of Representatives.

(4) Members designating staff members under this subsection must specifically certify by letter to the Chairman that the employees are needed and will be utilized for Committee work.

### **Sec. 4: Committee Meetings**

(a) **Regular Meeting Day**—The regular meeting day of the Committee shall be the first Wednesday of each month while the House is in session, unless the Committee has met within the past 30 days or the Chairman considers a specific meeting unnecessary in the light of the requirements of the Committee business schedule.

#### **(b) Additional and Special Meetings:**

(1) The Chairman may call and convene, as he considers necessary, additional meetings of the Committee for the consideration of any bill or resolution pending before the Committee or for the conduct of other Committee business. The Committee shall meet for such purpose pursuant to that call of the Chairman.

(2) If at least three Committee Members desire that a special meeting of the Committee be called by the Chairman, those Members may file in the Committee Offices a written request

to the Chairman for that special meeting. Such request shall specify the measure or matter to be considered. Upon the filing of the request, the Committee Clerk shall notify the Chairman.

(3) If within three calendar days after the filing of the request, the Chairman does not call the requested special meeting to be held within seven calendar days after the filing of the request, a majority of the Committee Members may file in the Committee Offices their written notice that a special meeting will be held, specifying the date and hour of such meeting, and the measure or matter to be considered. The Committee shall meet on that date and hour.

(4) Immediately upon the filing of the notice, the Committee Clerk shall notify all Committee Members that such special meeting will be held and inform them of its date and hour and the measure or matter to be considered. Only the measure or matter specified in that notice may be considered at the special meeting.

(c) **Vice Chairman To Preside in Absence of Chairman**—A member of the majority party on the Committee or subcommittee thereof designated by the Chairman of the full Committee shall be vice chairman of the Committee or subcommittee, as the case may be, and shall preside at any meeting during the temporary absence of the chairman. If the chairman and vice chairman of the Committee or subcommittee are not present at any meeting of the Committee or subcommittee, the ranking member of the majority party who is present shall preside at that meeting.

(d) **Business Meetings:**

(1) Each meeting for the transaction of business, including the markup of legislation, of the Committee and its subcommittees shall be open to the public except when the Committee or the subcommittee concerned, in open session and with a majority present, determines by roll call vote that all or part of the remainder of the meeting on that day shall be closed.

(2) No person other than Committee Members and such congressional staff and departmental representatives as they may authorize shall be present at any business or markup session which has been closed.

(3) The Chairman shall announce the date, place, and subject matter of each committee meeting for the transaction of business, which may not commence earlier than the third day on which members have notice thereof, unless the Chairman, with the concurrence of the Ranking Minority Member, or the Committee by majority vote with a quorum present for the transaction of business, determines there is good cause to begin the meeting sooner, in which case the Chairman shall make the announcement at the earliest possible date. An announcement shall be published promptly in the Daily Digest and made publicly available in electronic form.

(4) At least 24 hours prior to the commencement of a meeting for the markup of a bill or resolution, or at the time an announcement is made pursuant to the preceding subparagraph within 24 hours before such meeting, the Chairman shall cause the text of such bill or resolution to be made publicly available in electronic form.

**(e) Committee Records:**

(1) The Committee shall keep a complete record of all Committee action, including a record of the votes on any question on which a roll call is demanded. The result of each roll call vote shall be available for inspection by the public during regular business hours in the Committee Offices and also made available in electronic form within 48 hours of such record vote. The information made available for public inspection shall include a description of the amendment, motion, or other proposition, and the name of each Member voting for and each Member voting against, and the names of those Members present but not voting.

(2) All hearings, records, data, charts, and files of the Committee shall be kept separate and distinct from the congressional office records of the Chairman of the Committee. Such records shall be the property of the House, and all Members of the House shall have access thereto.

(3) The records of the Committee at the National Archives and Records Administration shall be made available in accordance with Rule VII of the Rules of the House, except that the Committee authorizes use of any record to which Clause 3 (b)(4) of Rule VII of the Rules of the House would otherwise apply after such record has been in existence for 20 years. The Chairman shall notify the Ranking Minority Member of any decision, pursuant to Clause 3 (b)(3) or Clause 4 (b) of Rule VII of the Rules of the House, to withhold a record otherwise available, and the matter shall be presented to the Committee for a determination upon the written request of any Member of the Committee.

**(f) Availability of Amendments Adopted**—Not later than 24 hours after the adoption of amendment to a bill or resolution, the Chairman shall cause the text of any amendment adopted thereto to be made publicly available in electronic form.

**Sec. 5: Committee and Subcommittee Hearings**

**(a) Overall Budget Hearings**—Overall budget hearings by the Committee, including the hearing required by Section 242 (c) of the Legislative Reorganization Act of 1970 and Clause 4 (a)(1) of Rule X of the Rules of the House of Representatives shall be conducted in open session except when the Committee in open session and with a majority present, determines by roll call vote that the testimony to be taken at that hearing on that day may be related to a matter of national security; except that the Committee may by the same procedure close one subsequent day of hearing. A transcript of all such hearings shall be printed and a copy furnished to each Member, Delegate, and the Resident Commissioner from Puerto Rico.

**(b) Other Hearings:**

(1) All other hearings conducted by the Committee or its subcommittees shall be open to the public except when the Committee or subcommittee in open session and with a majority present determines by roll call vote that all or part of the remainder of that hearing on that day shall be closed to the public because disclosure of testimony, evidence, or other matters

to be considered would endanger the national security or would violate any law or Rule of the House of Representatives. Notwithstanding the requirements of the preceding sentence, a majority of those present at a hearing conducted by the Committee or any of its subcommittees, there being in attendance the number required under Section 5 (c) of these Rules to be present for the purpose of taking testimony, (1) may vote to close the hearing for the sole purpose of discussing whether testimony or evidence to be received would endanger the national security or violate Clause 2 (k)(5) of Rule XI of the Rules of the House of Representatives or (2) may vote to close the hearing, as provided in Clause 2 (k)(5) of such Rule. No Member of the House of Representatives may be excluded from nonparticipatory attendance at any hearing of the Committee or its subcommittees unless the House of Representatives shall by majority vote authorize the Committee or any of its subcommittees, for purposes of a particular series of hearings on a particular article of legislation or on a particular subject of investigation, to close its hearings to Members by the same procedures designated in this subsection for closing hearings to the public; Provided, however, That the Committee or its subcommittees may by the same procedure vote to close five subsequent days of hearings.

(2) Subcommittee chairmen shall coordinate the development of schedules for meetings or hearings after consultation with the Chairman and other subcommittee chairmen with a view toward avoiding simultaneous scheduling of Committee and subcommittee meetings or hearings.

(3) Each witness who is to appear before the Committee or any of its subcommittees as the case may be, insofar as is practicable, shall file in advance of such appearance, a written statement of the proposed testimony and shall limit the oral presentation at such appearance to a brief summary, except that this provision shall not apply to any witness appearing before the Committee in the overall budget hearings.

(4) Each witness appearing in a nongovernmental capacity before the Committee, or any of its subcommittees as the case may be, shall to the greatest extent practicable, submit a written statement including a curriculum vitae and a disclosure of the amount and source (by agency and program) of any Federal grant (or subgrant thereof) or contract (or subcontract thereof) received during the current fiscal year or either of the two previous fiscal years by the witness or by an entity represented by the witness. Such statements, with appropriate redactions to protect the privacy of witnesses, shall be made publicly available in electronic form not later than one day after the witness appears.

(c) **Quorum for Taking Testimony**—The number of Members of the Committee which shall constitute a quorum for taking testimony and receiving evidence in any hearing of the Committee shall be two.

(d) **Calling and Interrogation of Witnesses:**

(1) The Minority Members of the Committee or its subcommittees shall be entitled, upon request to the Chairman or

subcommittee chairman, by a majority of them before completion of any hearing, to call witnesses selected by the Minority to testify with respect to the matter under consideration during at least one day of hearings thereon.

(2) The Committee and its subcommittees shall observe the five-minute rule during the interrogation of witnesses until such time as each Member of the Committee or subcommittee who so desires has had an opportunity to question the witness.

(e) **Broadcasting and Photographing of Committee Meetings and Hearings**—Whenever a hearing or meeting conducted by the full Committee or any of its subcommittees is open to the public, those proceedings shall be open to coverage by television, radio, and still photography, as provided in Clause (4)(f) of Rule XI of the Rules of the House of Representatives. Neither the full Committee Chairman or subcommittee chairman shall limit the number of television or still cameras to fewer than two representatives from each medium (except for legitimate space or safety, in which case pool coverage shall be authorized). To the maximum practicable, the Committee shall provide audio and video coverage of each hearing or meeting for the transaction of business in a manner that allows the public to easily listen to and view the proceedings and shall maintain the recordings of such coverage in a manner that is easily accessible to the public.

(f) **Subcommittee Meetings**—No subcommittee shall sit while the House is reading an appropriation measure for amendment under the five-minute rule or while the Committee is in session.

(g) **Public Notice of Committee Hearings**—The Chairman of the Committee shall make public announcement of the date, place, and subject matter of any Committee or subcommittee hearing at least one week before the commencement of the hearing. If the Chairman of the Committee or subcommittee, with the concurrence of the ranking minority member of the Committee or respective subcommittee, determines there is good cause to begin the hearing sooner, or if the Committee or subcommittee so determines by majority vote, a quorum being present for the transaction of business, the Chairman or subcommittee chairman shall make the announcement at the earliest possible date. Any announcement made under this subsection shall be promptly published in the Daily Digest and made publicly available in electronic form.

## **Sec. 6: Procedures for Reporting Bills and Resolutions**

### **(a) Prompt Reporting Requirement:**

(1) It shall be the duty of the Chairman to report, or cause to be reported promptly to the House any bill or resolution approved by the Committee and to take or cause to be taken necessary steps to bring the matter to a vote.

(2) In any event, a report on a bill or resolution which the Committee has approved shall be filed within seven calendar days (exclusive of days in which the House is not in session) after the day on which there has been filed with the Committee Clerk a written request, signed by a majority of Committee Members, for the reporting of such bill or resolution. Upon the filing of any such request, the Committee Clerk shall notify the Chairman immediately of the filing of the request.

This subsection does not apply to the reporting of a regular appropriation bill or to the reporting of a resolution of inquiry addressed to the head of an executive department.

(b) **Presence of Committee Majority**—No measure or recommendation shall be reported from the Committee unless a majority of the Committee was actually present.

(c) **Roll Call Votes**—With respect to each roll call vote on a motion to report any measure or matter of a public character, and on any amendment offered to the measure of matter, the total number of votes cast for and against, and the names of those Members voting for and against, shall be included in the Committee report on the measure or matter.

(d) **Compliance With Congressional Budget Act**—A Committee report on a bill or resolution which has been approved by the Committee shall include the statement required by Section 308(a) of the Congressional Budget Act of 1974, separately set out and clearly identified, if the bill or resolution provides new budget authority.

(e) **Constitutional Authority Statement**—Each report of the Committee on a bill or joint resolution of a public character shall include a statement citing the specific powers granted to the Congress in the Constitution to enact the law proposed by the bill or joint resolution.

(f) **Changes in Existing Law**—Each Committee report on a general appropriation bill shall contain a concise statement describing fully the effect of any provision of the bill which directly or indirectly changes the application of existing law.

(g) **Rescissions and Transfers**—Each bill or resolution reported by the Committee shall include separate headings for rescissions and transfers of unexpended balances with all proposed rescissions and transfers listed therein. The report of the Committee accompanying such a bill or resolution shall include a separate section with respect to such rescissions or transfers.

(h) **Listing of Unauthorized Appropriations**—Each Committee report on a general appropriation bill shall contain a list of all appropriations contained in the bill for any expenditure not currently authorized by law for the period concerned (except for classified intelligence or national security programs, projects, or activities) along with a statement of the last year for which such expenditures were authorized, the level of expenditures authorized for that year, the actual level of expenditures for that year, and the level of appropriations in the bill for such expenditures.

(i) **Supplemental or Minority Views:**

(1) If, at the time the Committee approves any measure or matter, any Committee Member gives notice of intention to file supplemental, minority, or additional views, the Member shall be entitled to not less than two additional calendar days after the day of such notice (excluding Saturdays, Sundays, and legal holidays) in which to file such views in writing and signed by the Member, with the Clerk of the Committee. All such views so filed shall be included in and shall be a part of the report filed by the Committee with respect to that measure or matter.

(2) The Committee report on that measure or matter shall be printed in a single volume which—

(i) shall include all supplemental, minority, or additional views which have been submitted by the time of the filing of the report, and

(ii) shall have on its cover a recital that any such supplemental, minority, or additional views are included as part of the report.

(3) This subsection does not preclude—

(i) the immediate filing or printing of a Committee report unless timely request for the opportunity to file supplemental, minority, or additional views has been made as provided by such subsection; or

(ii) the filing by the Committee of a supplemental report on a measure or matter which may be required for correction of any technical error in a previous report made by the Committee on that measure or matter.

(4) If, at the time a subcommittee approves any measure or matter for recommendation to the full Committee, any Member of that subcommittee who gives notice of intention to offer supplemental, minority, or additional views shall be entitled, insofar as is practicable and in accordance with the printing requirements as determined by the subcommittee, to include such views in the Committee Print with respect to that measure or matter.

(j) **Availability of Reports**—A copy of each bill, resolution, or report shall be made available to each Member of the Committee at least three calendar days (excluding Saturdays, Sundays, and legal holidays) in advance of the date on which the Committee is to consider each bill, resolution, or report; Provided, That this subsection may be waived by agreement between the Chairman and the Ranking Minority Member of the full Committee.

(k) **Performance Goals and Objectives**—Each Committee report shall contain a statement of general performance goals and objectives, including outcome-related goals and objectives, for which the measure authorizes funding.

(l) **Motion to go to Conference**—The Chairman is directed to offer a motion under clause 1 of rule XXII of the Rules of the House whenever the Chairman considers it appropriate.

### **Sec. 7: Voting**

(a) No vote by any Member of the Committee or any of its subcommittees with respect to any measure or matter may be cast by proxy.

(b) The vote on any question before the Committee shall be taken by the yeas and nays on the demand of one-fifth of the Members present.

(c) The Chairman of the Committee or the chairman of any of its subcommittees may—

(1) postpone further proceedings when a record vote is ordered on the question of approving a measure or matter or on adopting an amendment;

(2) resume proceedings on a postponed question at any time after reasonable notice.



When proceedings resume on a postponed question, notwithstanding any intervening order for the previous question, an underlying proposition shall remain subject to further debate or amendment to the same extent as when the question was postponed.

### **Sec. 8: Studies and Examinations**

The following procedure shall be applicable with respect to the conduct of studies and examinations of the organization and operation of Executive Agencies under authority contained in Section 202 (b) of the Legislative Reorganization Act of 1946 and in Clause (3)(a) of Rule X of the Rules of the House of Representatives:

(a) The Chairman is authorized to appoint such staff and, in his discretion, arrange for the procurement of temporary services of consultants, as from time to time may be required.

(b) Studies and examinations will be initiated upon the written request of a subcommittee which shall be reasonably specific and definite in character, and shall be initiated only by a majority vote of the subcommittee, with the chairman of the subcommittee and the ranking minority member thereof participating as part of such majority vote. When so initiated such request shall be filed with the Clerk of the Committee for submission to the Chairman and the Ranking Minority Member and their approval shall be required to make the same effective. Notwithstanding any action taken on such request by the chairman and ranking minority member of the subcommittee, a request may be approved by a majority of the Committee.

(c) Any request approved as provided under subsection (b) shall be immediately turned over to the staff appointed for action.

(d) Any information obtained by such staff shall be reported to the chairman of the subcommittee requesting such study and examination and to the Chairman and Ranking Minority Member, shall be made available to the members of the subcommittee concerned, and shall not be released for publication until the subcommittee so determines.

(e) Any hearings or investigations which may be desired, aside from the regular hearings on appropriation items, when approved by the Committee, shall be conducted by the subcommittee having jurisdiction over the matter.

### **Sec. 9: Temporary Investigative Task Forces**

(a) The Chairman of the Full Committee, in consultation with the Ranking Member of the Full Committee, may establish and appoint members to serve on task forces of the Committee, to examine specific activities for a limited period of time in accordance with clause 5(b)2(C) of Rule X of the Rules of the House.

(b) The Chairman of the Full Committee shall issue a written directive, in consultation with the Ranking Member of the Full Committee, delineating the specific activities to be reviewed by a task force constituted pursuant to the preceding paragraph.

(c) A task force constituted under this section shall provide a written report of its findings and recommendations to the Full Committee Chairman and Ranking Member and members of the relevant subcommittees having jurisdiction over the matters re-

viewed. Such report shall be approved by a majority vote of the task force and shall include any supplemental, minority, or additional views submitted by a Member of the task force or a member of a subcommittee having jurisdiction over the matter reviewed.

(d) Any information obtained during the course of such investigation, and any report produced by, a task force pursuant to this section, shall not be released until the Chairman of the Full Committee has authorized such release.

(e) The Chairman is authorized to appoint such staff, and, in his discretion, arrange for the procurement of temporary services, as from time to time may be required.

### **Sec. 10: Official Travel**

(a) The chairman of a subcommittee shall approve requests for travel by subcommittee members and staff for official business within the jurisdiction of that subcommittee. The ranking minority member of a subcommittee shall concur in such travel requests by minority members of that subcommittee and the Ranking Minority Member shall concur in such travel requests for Minority Members of the Committee. Requests in writing covering the purpose, itinerary, and dates of proposed travel shall be submitted for final approval to the Chairman. Specific approval shall be required for each and every trip.

(b) The Chairman is authorized during the recess of the Congress to approve travel authorizations for Committee Members and staff, including travel outside the United States.

(c) As soon as practicable, the Chairman shall direct the head of each Government agency concerned not to honor requests of subcommittees, individual Members, or staff for travel, the direct or indirect expenses of which are to be defrayed from an executive appropriation, except upon request from the Chairman.

(d) In accordance with Clause 8 of Rule X of the Rules of the House of Representatives and Section 502 (b) of the Mutual Security Act of 1954, as amended, local currencies owned by the United States shall be available to Committee Members and staff engaged in carrying out their official duties outside the United States, its territories, or possessions. No Committee Member or staff member shall receive or expend local currencies for subsistence in any country at a rate in excess of the maximum per diem rate set forth in applicable Federal law.

#### **(e) Travel Reports:**

(1) Members or staff shall make a report to the Chairman on their travel, covering the purpose, results, itinerary, expenses, and other pertinent comments.

(2) With respect to travel outside the United States or its territories or possessions, the report shall include: (1) an itemized list showing the dates each country was visited, the amount of per diem furnished, the cost of transportation furnished, and any funds expended for any other official purpose; and (2) a summary in these categories of the total foreign currencies and/or appropriated funds expended. All such individual reports on foreign travel shall be filed with the Chairman no later than sixty days following completion of the travel

for use in complying with reporting requirements in applicable Federal law, and shall be open for public inspection.

(3) Each Member or employee performing such travel shall be solely responsible for supporting the amounts reported by the Member or employee.

(4) No report or statement as to any trip shall be publicized making any recommendations in behalf of the Committee without the authorization of a majority of the Committee.

(f) Members and staff of the Committee performing authorized travel on official business pertaining to the jurisdiction of the Committee shall be governed by applicable laws or regulations of the House and of the Committee on House Administration pertaining to such travel, and as promulgated from time to time by the Chairman.

#### **Sec. 11. Activities Reports**

(a) Not later than the 30th day after June 1 and December 1, the Committee shall submit to the House a semiannual report on the activities of the Committee.

(b) After adjournment sine die of a regular session of Congress, or after December 15, whichever occurs first, the Chairman may file the second or fourth semiannual report with the Clerk of the House at any time and without the approval of the Committee, provided that a copy of the report has been available to each Member of the Committee for at least seven calendar days and the report includes any supplemental, minority, or additional views submitted by a Member of the Committee.

## APPENDIX B

## PROVISIONS OF THE RULES OF THE HOUSE OF REPRESENTATIVES APPLICABLE TO THE JURISDICTION OF THE HOUSE COMMITTEE ON APPROPRIATIONS

## Rule X

## ORGANIZATION OF COMMITTEES

**Committees and Their Legislative Jurisdictions**

1. There shall be in the House the following standing committees, each of which shall have the jurisdiction and related functions assigned by this clause and clauses 2, 3, and 4. All bills, resolutions, and other matters relating to subjects within the jurisdiction of the standing committees listed in this clause shall be referred to those committees, in accordance with clause 2 of rule XII, as follows:

\* \* \* \* \*

**(b) Committee on Appropriations**

(1) Appropriation of the revenue for the support of the Government.

(2) Rescissions of appropriations contained in appropriation Acts.

(3) Transfers of unexpended balances.

(4) Bills and joint resolutions reported by other committees that provide new entitlement authority as defined in section 3(9) of the Congressional Budget Act of 1974 and referred to the committee under clause 4(a)(2).

\* \* \* \* \*

**General Oversight Responsibilities**

2. (a) The various standing committees shall have general oversight responsibilities as provided in paragraph (b) in order to assist the House in—

(1) its analysis, appraisal, and evaluation of—

(A) the application, administration, execution, and effectiveness of Federal laws; and

(B) conditions and circumstances which may indicate the necessity or desirability of enacting new or additional legislation; and

(2) its formulation, consideration, and enactment of changes in Federal laws, and of such additional legislation as may be necessary or appropriate.

(b)(1) In order to determine whether laws and programs addressing subjects within the jurisdiction of a committee are being implemented and carried out in accordance with the intent of Congress and whether they should be continued, curtailed, or eliminated, each standing committee (other than the Committee on Appropriations) shall review and study on a continuing basis—

\* \* \* \* \*

### **Special Oversight Functions**

3. (a) The Committee on Appropriations shall conduct such studies and examinations of the organization and operation of executive departments and other executive agencies (including an agency the majority of the stock of which is owned by the United States) as it considers necessary to assist it in the determination of matters within its jurisdiction.

\* \* \* \* \*

### **Additional Functions of Committees**

4. (a)(1)(A) The Committee on Appropriations shall, within 30 days after the transmittal of the Budget to the Congress each year, hold hearings on the Budget as a whole with particular reference to—

(i) the basic recommendations and budgetary policies of the President in the presentation of the Budget; and

(ii) the fiscal, financial, and economic assumptions used as bases in arriving at total estimated expenditures and receipts.

(B) In holding hearings pursuant to subdivision (A), the committee shall receive testimony from the Secretary of the Treasury, the Director of the Office of Management and Budget, the Chairman of the Council of Economic Advisers, and such other persons as the committee may desire.

(C) A hearing under subdivision (A), or any part thereof, shall be held in open session, except when the committee, in open session and with a quorum present, determines by record vote that the testimony to be taken at that hearing on that day may be related to a matter of national security. The committee may by the same procedure close one subsequent day of hearing. A transcript of all such hearings shall be printed and a copy thereof furnished to each Member, Delegate, and the Resident Commissioner.

(D) A hearing under subdivision (A), or any part thereof, may be held before a joint meeting of the committee and the Committee on Appropriations of the Senate in accordance with such procedures as the two committees jointly may determine.

(2) Pursuant to section 401(b)(2) of the Congressional Budget Act of 1974, when a committee reports a bill or joint resolution that provides new entitlement authority as defined in section 3(9) of that Act, and enactment of the bill or joint resolution, as reported, would cause a breach of the committee's pertinent allocation of new budget authority under section 302(a) of that Act, the bill or joint resolution may be referred to the Committee on Appropriations with instructions to report it with recommendations (which may include an amendment limiting the total amount of new entitlement authority provided in the bill or joint resolution). If the Committee on Appropriations fails to report a bill or joint resolution so referred within 15 calendar days (not counting any day on which the house is not in session), the committee automatically shall be discharged from consideration of the bill or joint resolution, and the bill or joint resolution shall be placed on the appropriate calendar.

(3) In addition, the Committee on Appropriations shall study on a continuing basis those provisions of law that (on the first day of

the first fiscal year for which the congressional budget process is effective) provide spending authority of permanent budget authority, and shall report to the House from time to time its recommendations for terminating or modifying such provisions.

(4) In the manner provided by section 302 of the Congressional Budget Act of 1974, the Committee on Appropriations (after consulting with the Committee on Appropriations of the Senate) shall subdivide any allocations made to it in the joint explanatory statement accompanying the conference report on such concurrent resolution, and promptly report the subdivisions to the House as soon as practicable after a concurrent resolution on the budget for a fiscal year is agreed to.

APPENDIX C

SUBCOMMITTEE MEMBERSHIP AND JURISDICTION

NOTE: Under Committee Rules, Mr. Rogers, as Chairman of the Full Committee, and Mr. Dicks, as Ranking Minority Member of the Full Committee, are authorized to sit as Members of all Subcommittees.

SUBCOMMITTEE ON AGRICULTURE, RURAL DEVELOPMENT, FOOD AND DRUG ADMINISTRATION, AND RELATED AGENCIES

JACK KINGSTON, Georgia, *Chairman*

TOM LATHAM, Iowa

JO ANN EMERSON, Missouri

ROBERT B. ADERHOLT, Alabama

CYNTHIA M. LUMMIS, Wyoming

ALAN NUNNELEE, Mississippi

TOM GRAVES, Georgia

SAM FARR, California

ROSA L. DeLAURO, Connecticut

SANFORD D. BISHOP, JR., Georgia

MARCY KAPTUR, Ohio

JURISDICTION

DEPARTMENT OF AGRICULTURE (*Except* Forest Service)

Farm Credit Administration

Farm Credit System Financial Assistance Corporation

Commodity Futures Trading Commission

Food and Drug Administration (HHS)

SUBCOMMITTEE ON COMMERCE, JUSTICE, SCIENCE, AND RELATED  
AGENCIES

FRANK R. WOLF, Virginia, *Chairman*

JOHN ABNEY CULBERSON, Texas  
ROBERT B. ADERHOLT, Alabama  
JO BONNER, Alabama  
STEVE AUSTRIA, Ohio  
TOM GRAVES, Georgia  
KEVIN YODER, Kansas

CHAKA FATTAH, Pennsylvania  
ADAM B. SCHIFF, California  
MICHAEL M. HONDA, California  
JOSE E. SERRANO, New York

JURISDICTION

DEPARTMENT OF COMMERCE  
DEPARTMENT OF JUSTICE  
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION  
NATIONAL SCIENCE FOUNDATION  
RELATED AGENCIES  
Antitrust Modernization Commission  
Commission on Civil Rights  
Equal Employment Opportunity Commission  
International Trade Commission  
Legal Services Corporation  
Marine Mammal Commission  
National Intellectual Property Law Enforcement Coordination Council  
Office of Science and Technology Policy  
Office of the United States Trade Representative  
State Justice Institute



SUBCOMMITTEE ON DEFENSE

C. W. BILL YOUNG, Florida, *Chairman*

JERRY LEWIS, California	NORMAN D. DICKS, Washington
RODNEY P. FRELINGHUYSEN, New Jersey	PETER J. VISCLOSKY, Indiana
JACK KINGSTON, Georgia	JAMES P. MORAN, Virginia
KAY GRANGER, Texas	MARCY KAPTUR, Ohio
ANDER CRENSHAW, Florida	STEVEN R. ROTHMAN, New Jersey
KEN CALVERT, California	MAURICE D. HINCHEY, New York
JO BONNER, Alabama	
TOM COLE, Oklahoma	

JURISDICTION

DEPARTMENT OF DEFENSE—MILITARY

Departments of Army, Navy (including Marine Corps), Air Force, Office of Secretary of Defense, and Defense Agencies (*Except* Department of Defense-related accounts and programs under the Subcommittee on Military Construction and Veterans Affairs and the Office of the Assistant Secretary of the Army (Civil Works))  
Central Intelligence Agency  
Intelligence Community Staff

SUBCOMMITTEE ON ENERGY AND WATER DEVELOPMENT, AND  
RELATED AGENCIES

RODNEY P. FRELINGHUYSEN, New Jersey, *Chairman*

JERRY LEWIS, California	PETER J. VISCLOSKY, Indiana
MICHAEL K. SIMPSON, Idaho	ED PASTOR, Arizona
DENNY REHBERG, Montana	CHAKA FATTAH, Pennsylvania
RODNEY ALEXANDER, Louisiana	JOHN W. OLVER, Massachusetts
STEVE WOMACK, Arkansas	
ALAN NUNNELEE, Mississippi	

JURISDICTION

DEPARTMENT OF ENERGY  
DEPARTMENT OF DEFENSE—Civil; Department of the Army; Corps of Engineers—Civil  
DEPARTMENT OF THE INTERIOR; Bureau of Reclamation; Central Utah Project  
RELATED AGENCIES  
Appalachian Regional Commission  
Defense Nuclear Facilities Safety Board  
Delta Regional Authority  
Denali Commission  
Nuclear Regulatory Commission  
Nuclear Waste Technical Review Board  
Tennessee Valley Authority

## SUBCOMMITTEE ON FINANCIAL SERVICES AND GENERAL GOVERNMENT

JO ANN EMERSON, Missouri, *Chair*

RODNEY ALEXANDER, Louisiana	JOSÉ E. SERRANO, New York
JO BONNER, Alabama	BARBARA LEE, California
MARIO DIAZ-BALART, Florida	PETER J. VISCLOSKY, Indiana
TOM GRAVES, Georgia	ED PASTOR, Arizona
KEVIN YODER, Kansas	
STEVE WOMACK, Arkansas	

## JURISDICTION

DEPARTMENT OF THE TREASURY  
DISTRICT OF COLUMBIA  
THE JUDICIARY  
EXECUTIVE OFFICE OF THE PRESIDENT  
  Compensation of the President  
  Council of Economic Advisers  
  Executive Residence at the White House  
  Federal Drug Control Programs  
  High Intensity Drug Trafficking Areas Program  
  National Security Council  
  Office of Administration  
  Office of Management and Budget  
  Office of National Drug Control Policy  
  Office of Policy Development  
  Official Residence of the Vice President  
  Special Assistance to the President  
  Unanticipated Needs  
  White House Office  
  White House Repair and Restoration

INDEPENDENT AGENCIES  
  Administrative Conference of the United States  
  Christopher Columbus Fellowship Foundation  
  Consumer Product Safety Commission  
  Election Assistance Commission  
  Federal Communications Commission  
  Federal Deposit Insurance Corporation, Office of Inspector General  
  Federal Election Commission  
  Federal Labor Relations Authority  
  Federal Trade Commission  
  General Services Administration  
  Harry S. Truman Scholarship Foundation  
  Merit Systems Protection Board  
  Morris K. Udall Scholarship and Excellence in National Environmental  
  Policy Foundation  
  National Archives and Records Administration  
  National Credit Union Administration  
  National Historical Publications and Records Commission  
  Office of Government Ethics  
  Office of Personnel Management and Related Trust Funds  
  Office of Special Counsel  
  Postal Regulatory Commission  
  Privacy and Civil Liberties Oversight Board  
  Securities and Exchange Commission  
  Selective Service System  
  Small Business Administration  
  United States Postal Service, Payment to the Postal Service Fund and  
  Office of Inspector General  
  United States Tax Court

GENERAL PROVISIONS, GOVERNMENTWIDE

SUBCOMMITTEE ON THE DEPARTMENT OF HOMELAND SECURITY

ROBERT B. ADERHOLT, Alabama, *Chairman*

JOHN R. CARTER, Texas

JOHN ABNEY CULBERSON, Texas

RODNEY P. FRELINGHUYSEN, New Jersey

TOM LATHAM, Iowa

ANDER CRENSHAW, Florida

CHARLES W. DENT, Pennsylvania

DAVID E. PRICE, North Carolina

LUCILLE ROYBAL-ALLARD, California

NITA M. LOWEY, New York

JOHN W. OLVER, Massachusetts

JURISDICTION

DEPARTMENT OF HOMELAND SECURITY

## SUBCOMMITTEE ON INTERIOR, ENVIRONMENT, AND RELATED AGENCIES

MICHAEL K. SIMPSON, Idaho, *Chairman*

JERRY LEWIS, California

KEN CALVERT, California

STEVEN C. LATOURETTE, Ohio

TOM COLE, Oklahoma

JEFF FLAKE, Arizona

CYNTHIA M. LUMMIS, Wyoming

JAMES P. MORAN, Virginia

BETTY MCCOLLUM, Minnesota

MAURICE D. HINCHEY, New York

JOSE E. SERRANO, New York

## JURISDICTION

DEPARTMENT OF THE INTERIOR (*Except* Bureau of Reclamation and Central Utah Project)

ENVIRONMENTAL PROTECTION AGENCY

OTHER AGENCIES

Advisory Council on Historic Preservation

Agency for Toxic Substances and Disease Registry (HHS)

Chemical Safety and Hazard Investigation Board

Commission of Fine Arts

Council on Environmental Quality and Office of Environmental Quality

Eisenhower Commission

Forest Service (USDA)

Indian Health Service

Institute of American Indian and Alaska Native Culture and Arts Development

John F. Kennedy Center for the Performing Arts

National Capital Planning Commission

National Foundation on the Arts and the Humanities (*Except* Institute of Museum and Library Services)

National Gallery of Art

National Institute of Environmental Health Sciences (HHS, formerly EPA/Superfund)

Office of Navajo and Hopi Indian Relocation

Presidio Trust

Smithsonian Institution

United States Holocaust Memorial Museum

White House Commission on the National Moment of Remembrance

Woodrow Wilson International Center for Scholars

SUBCOMMITTEE ON THE DEPARTMENTS OF LABOR, HEALTH AND  
HUMAN SERVICES, EDUCATION, AND RELATED AGENCIES

DENNY REHBERG, Montana, *Chairman*

JERRY LEWIS, California	ROSA L. DeLAURO, Connecticut
RODNEY ALEXANDER, Louisiana	NITA M. LOWEY, New York
JACK KINGSTON, Georgia	JESSE L. JACKSON, JR., Illinois
KAY GRANGER, Texas	LUCILLE ROYBAL-ALLARD, California
MICHAEL K. SIMPSON, Idaho	BARBARA LEE, California
JEFF FLAKE, Arizona	
CYNTHIA M. LUMMIS, Wyoming	

JURISDICTION

DEPARTMENT OF EDUCATION  
DEPARTMENT OF HEALTH AND HUMAN SERVICES (*Except Agency for Toxic  
Substances and Disease Registry; Food and Drug Administration; Indian  
Health Services and Facilities; and National Institute of Environmental  
Sciences (formerly EPA/Superfund)*)  
DEPARTMENT OF LABOR  
RELATED AGENCIES  
Committee for Purchase From People Who Are Blind or Severely Dis-  
abled  
Corporation for National and Community Service  
Corporation for Public Broadcasting  
Federal Mediation and Conciliation Service  
Federal Mine Safety and Health Review Commission  
Institute of Museum and Library Services  
Medicare Payment Advisory Commission  
National Commission on Libraries and Information Science  
National Council on Disability  
National Education Goals Panel  
National Labor Relations Board  
National Mediation Board  
Occupational Safety and Health Review Commission  
Railroad Retirement Board  
Social Security Administration

SUBCOMMITTEE ON LEGISLATIVE BRANCH

ANDER CRENSHAW, Florida, *Chairman*

STEVEN C. LATOURETTE, Ohio  
JO ANN EMERSON, Missouri  
DENNY REHBERG, Montana  
KEN CALVERT, California

MICHAEL M. HONDA, California  
DAVID E. PRICE, North Carolina  
SANFORD D. BISHOP, JR., Georgia

JURISDICTION

House of Representatives  
Joint Items  
Architect of the Capitol (*Except* Senate Items)  
Botanic Garden  
Capitol Police  
Capitol Visitors Center  
Congressional Budget Office  
Government Accountability Office  
Government Printing Office  
John C. Stennis Center  
Library of Congress  
Office of Compliance  
Open World Leadership Center  
United States Capitol Preservation Commission

SUBCOMMITTEE ON MILITARY CONSTRUCTION, VETERANS AFFAIRS,  
AND RELATED AGENCIES APPROPRIATIONS

JOHN ABNEY CULBERSON, Texas, *Chairman*

C. W. BILL YOUNG, Florida

JOHN R. CARTER, Texas

JEFF FLAKE, Arizona

STEVE AUSTRIA, Ohio

KEVIN YODER, Kansas

ALAN NUNNELEE, Mississippi

SANFORD D. BISHOP, JR., Georgia

SAM FARR, California

BETTY MCCOLLUM, Minnesota

JAMES P. MORAN, Virginia

JURISDICTION

DEPARTMENT OF DEFENSE

Military Construction, Army, Navy (including Marine Corps), Air Force,  
Defense-Wide, and Guard and Reserve Forces

Chemical Demilitarization Construction, Defense-Wide

Military Family Housing Construction and Operation and Maintenance,  
Army, Navy (including Marine Corps), Air Force, and Defense-Wide

Family Housing Improvement Fund

Military Unaccompanied Housing Improvement Fund

Homeowners Assistance Fund

Base Realignment and Closure Accounts

NATO Security Investment Program

DEPARTMENT OF VETERANS AFFAIRS

RELATED AGENCIES

American Battle Monuments Commission

Armed Forces Retirement Home

Department of Defense, Civil, Cemeterial Expenses, Army

U.S. Court of Appeals for Veterans Claims



SUBCOMMITTEE ON STATE, FOREIGN OPERATIONS, AND RELATED PROGRAMS

KAY GRANGER, Texas, *Chairwoman*

JERRY LEWIS, California	NITA M. LOWEY, New York
FRANK R. WOLF, Virginia	JESSE L. JACKSON, JR., Illinois
TOM COLE, Oklahoma	ADAM B. SCHIFF, California
MARIO DIAZ-BALART, Florida	STEVEN R. ROTHMAN, New Jersey
CHARLES W. DENT, Pennsylvania	
STEVE AUSTRIA, Ohio	

JURISDICTION

AGENCY FOR INTERNATIONAL DEVELOPMENT  
DEPARTMENT OF DEFENSE  
    Foreign Military Financing Program  
    International Military Education and Training  
DEPARTMENT OF STATE  
DEPARTMENT OF THE TREASURY  
    Debt Restructuring  
    International Affairs Technical Assistance  
    International Monetary Fund  
    Multilateral Development Banks  
EXPORT-IMPORT BANK  
MILLENNIUM CHALLENGE CORPORATION  
OVERSEAS PRIVATE INVESTMENT CORPORATION  
PEACE CORPS  
TRADE AND DEVELOPMENT AGENCY  
RELATED PROGRAMS  
    African Development Foundation  
    Broadcasting Board of Governors  
    Commission for the Preservation of America's Heritage Abroad  
    Commission on International Religious Freedom  
    Commission on Security and Cooperation in Europe  
    Congressional-Executive Commission on the People's Republic of China  
    HELP Commission  
    Inter-American Foundation  
    United States-China Economic and Security Review Commission  
    United States Institute of Peace

SUBCOMMITTEE ON THE DEPARTMENTS OF TRANSPORTATION, AND  
HOUSING AND URBAN DEVELOPMENT, AND RELATED AGENCIES

TOM LATHAM, Iowa, *Chairman*

FRANK R. WOLF, Virginia	JOHN W. OLVER, Massachusetts
JOHN R. CARTER, Texas	ED PASTOR, Arizona
STEVEN C. LATOURETTE, Ohio	MARCY KAPTUR, Ohio
MARIO DIAZ-BALART, Florida	DAVID E. PRICE, North Carolina
CHARLES W. DENT, Pennsylvania	
STEVE WOMACK, Arkansas	

JURISDICTION

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
DEPARTMENT OF TRANSPORTATION  
RELATED AGENCIES  
Architectural and Transportation Barriers Compliance Board  
Federal Maritime Commission  
National Transportation Safety Board  
Neighborhood Reinvestment Corporation  
United States Interagency Council on Homelessness  
Washington Metropolitan Area Transit Authority

